

EarthTech

AWWOA Annual Seminar

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Optimizing Conventional Water Treatment Plants

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Workshop Outline

- Approaches to Plant Optimization
- What defines "good" design/performance ?
- Common Causes of Sub-Par Performance
- Evaluation & Optimization of:
 - Coagulation & Flocculation
 - Clarification (Sedimentation or DAF)
 - Granular Media Filtration
- Discussion & Question Period



What is "Optimization" ?

- "To attain the most efficient or effective use of" your water treatment plant
- Achievement of consistently high quality finished water on a continuous basis
- Important not to focus too much on individual processes
- Focus on <u>OVERALL</u> plant performance





Approaches to Plant Optimization

- Mostly common sense...not a "black art"
- Be organized, get the facts first !!
- <u>Systematic</u> gathering of information about plant performance:
 - Data trending & analysis
 - Check plant design criteria against "actual"
 - Track chemical dosing vs performance
 - Field measurements
 - Visual Observations





Data Trending and Analysis

- The "GIGO" (Garbage In-Garbage Out) Principle Applies !!!
- Collect and collate plant data in a form which facilitates analysis....i.e. spreadsheet
- Correlate plant operating parameters against each other to look for valuable trends



Analyzing trends can quickly solve problems





Chemical Dosing Trends

- Understand how chemical dosing impacts plant performance
- Look for over- or under-dosing, track
 - Raw water turbidity vs Coagulant Dose
 - Raw and coagulated water pH and alkalinity vs coagulant dose
 - Clarified water turbidity vs coagulant dosage



Coagulation & Flash Mixing



Coagulation & Flash Mixing

Getting the Chemistry Right

- Coagulation Objectives
- Selecting the Right Coagulant
- Appropriate Coagulant Dosage
- Matching Alkalinity with Coagulant Dosage
- Getting the Dosing & Mixing Right
 - Coagulation Mechanisms
 - Coagulant Mixing Methods
 - Coagulant Pumping



What the heck is coagulation anyway?

- The use of chemicals (coagulants) to destabilize colloidal material, and entrap them within a floc particle
- Once in particulate form, floc is easily removed





Colloid Stability

- Colloids (<10um) are stable and remain in suspension by virtue of their electrostatic charge (predominantly negative)
- May take anywhere from 200⁺ days up to 50⁺ years to settle
- Without effective coagulation, solids removal would be impractical





Theory of Coagulation

- Coagulation is achieved mainly through the reduction of the electrical double layer on the surface of a charged particle resulting in its destabilization or removal of charge
- Generally takes place in a matter of seconds after coagulant addition
- Once charge is removed, particles can stick together & form a floc





Alum Coagulation

• Fairly tight "optimal" pH range 5.5 – 7.5





Ferric Coagulation

• Broader Effective pH range 5 – 8.5





Selecting & Evaluating Coagulants

Jar test, jar test, jar test !!!
Different coagulants against each other
Coagulant and Flocculant Aid polymers
Chemical addition in varying order (coagulant, coagulant aid, alkalinity enhancing)

• **<u>2 factors</u>** most often overlooked:

- Alumina (Al₂O₃) Content
- Degree of Basicity





Understanding Alumina Content





Not all coagulants are created equal

| Coagulant | Percent as Alumina | | |
|------------------------------|--------------------|--|--|
| Alum | 8.20% as Alumina | | |
| soPAC 70 | 11% as Alumina | | |
| Polyaminium Chloride (PACI) | 18% as Alumina | | |
| Aluminium Chlorhydrate (ACH) | 23.50% as Alumina | | |



Standardization Based on Alumina

Therefore 10 PPM of Alum (at 8.2% AI_2O_3) can result in comparative coagulants "dose standardized" by active Alumina composition being fed at:

- Isopac 70 (11% AI_2O_3) = 7.45 PPM (25% less)

- PACI (18 % Al2O3) = 4.56 PPM (55% less)

- ACH (23.5 % Al2O3) = 3.49 PPM (65% less)

Al₂0₃ content can be found on MSDS sheet



Understanding Coagulant Basicity



Coagulation Chemistry



(Alum)

(Calcium Bicarbonate)

2 Al(OH)₃ (s) + 3 CaSO₄ + 6 CO₂ + 18 H₂O

(Aluminum Hydroxide)

(Water)

(Sulphate Component)

Important: Every 1 mg/l of Alum added to water requires 0.45 mg/l of Alkalinity (as CaCO₃)





Coagulation Reactions - Alum



AI2(SO4)3 ● 18 H2O + 3 Ca(HCO3)2 → 2 AI(OH)3 (s) + 3 CaSO4 + 6 CO_2 +18 H2O



Polyhydroxy Aluminum Chloride



Therefore can be considered 50% **pre-hydrolysed** (3/6 sites)



Aluminum Chlorohydrate (ACH)



Therefore considered 80% **pre-hydrolysed** (5/6 = 0.83333)



Degree of "Basicity"

(Or Pre-Hydrolization)

| Coagulant | Extent of Pre-Hydrolization |
|---------------|-----------------------------|
| Alum | 0% |
| PACI (ISOPAC) | 45% |
| ISOPAC 70 | 70% |
| ACH | 80% |

- Alum consumes more alkalinity than other aluminium based coagulants
- Higher consumption of alkalinity means greater impact on pH
- Optimal coagulation may not occur without sufficient "residual" alkalinity, at least 10 mg/L as CaCO₃



Alkalinity Limitations

| Product | Alkalinity Available mg/L | Al ₂ 0 ₃ Strength | Basicity | MAX DOSE (Based on Basicity) | Alum Eqv (Based on Al ₂ 0 ₃) |
|-----------|---------------------------------|--|----------|------------------------------------|---|
| Alum | 10 | 8.2 | 0% | 20 | 20 |
| Isopac 70 | 10 | 11 | 70% | 67 | 89 |
| PACI | 10 | 18 | 45% | 36 | 80 |
| ACH | 10 | 23.5 | 83% | 118 | 337 |



Operational & Design factors affecting coagulation

- Uniformity of Coagulant Flow
 - Ideally dose in a steady stream
 - Many utilities moving to gear pumps, to avoid pulsation inherent with metering pumps

Vigour of coagulant mixing

- Coagulation reactions take a fraction of a second Instantaneous mixing is critical
- Static mixers can lose effectiveness at lower flows
- pH and Alkalinity
 - Consider the need to add alkalinity if coagulant demands are higher. Soda ash generally the most effective additive
 - Generally try to ensure 10 20 mg/L as CaCO₃ residual alkalinity after coagulation



Common problems with coagulation

- Under or over-dosing Use Jar Testing !!
- Mixing of insufficient energy Undesirable coagulation reactions occur
- Fouling or clogging of injectors or diffusers Usually caused either by pre-dilution of coagulant, or poor mixing at the point of injection
 - Causes high, very localized coagulant concentrations
 - Significant precipitation around the injector
- Trying to mix too many chemicals at once, causing side reactions









Coagulant Mixing

- Rapid Mixing is Critical True "Flash" Mixing is desired
- Speed of coagulation reactions are very quick – From a fraction of a second to 7 seconds
- Excessive mixing time is wasted
- NEVER pre-dilute coagulant to improve mixing turbulence





Static Mixing

- Very common in small plants
- Mixing energy is linked to flow
- Low flow = Low Mixing Energy = Poor Flash Mixing





Mechanical Flash Mixing





"Jet" Flash Mixing



- ★ Most energy efficient
- ★ Flash mix achieved within 1 pipe diameter





Flocculation Good Practice

- Tapered flocculation (G = 20 70 s-1) for sedimentation – 20 – 30 min total
- Perforated intra-cell baffle walls to minimize short circuiting
- Higher energy (G = 100 s⁻¹) non-tapered flocculation for DAF – 15 min total
- Maximum blade tip speed 2 3 m/s for vertical turbine flocculators to avoid floc damage
- Include variable speed drives to adjust flocculation energy for optimal performance



Clarification


What constitutes "good" clarifier performance ?

- Consistently less than 2 NTU is excellent
- Stable when faced with rapidly changing water quality conditions
- Produces a sludge of consistent quality:
 - Sedimentation: 0.5 1 %TS
 - DAF (Hydraulically desludged): 0.5 1 %TS
 - DAF (Mechanically scraped): 2 3 %TS
 - ActiFlo: Generally 0.1 0.3 %TS
- Poor performance can be difficult to rectify – Often design related



Common Causes for Poor Clarifier Performance

- Density currents due to temperature variation within basins
- Excessive operating loading rates
- Entrained air Incidental flotation
- Poor hydraulics due to uneven inlet flow splitting or flocculation circulatory patterns
- Sudden changes in raw water conditions
- Chemical under- or over-dosing
- Inappropriate sludge removal rates
- Insufficient air loading (DAF) or sand concentrations (ActiFlo)



Is your clarifier suited to your raw water quality ?

| Clarification Process | High Algae Load | Highly Coloured Sources | Low Tubidity, Impounded Sources | Moderate Turbidity, Impounded Sources | Elevated Turbidity, River Sources | Lime Softening |
|---|--------------------|-------------------------------|--|--|--|-------------------|
| Conventional or High Rate Sedimentation | ** | ** | ** | *** | *** | *** |
| Sludge Blanket Clarification | ** | ** | ** | *** | *** | **** |
| DAF (Conventional Or High Rate) | **** | **** | **** | *** | No-Go | No-Go |
| ActiFlo | * * * | *** | *** | **** | **** | No-Go |



Is your clarifier operating at or below design capacity ?

- Loading Rate Flow per Unit Area, m³/m²/hr, or m/hr
- Typical Loading Rates
 - Traditional Sedimentation:
 - Conventional DAF:
 - High Rate DAF:
 - ActiFlo:

Up to 4 m/hr Up to 20 m/hr 30 - 45 m/hr 40 - 60 m/hr

- Loading rate is an <u>AVERAGE</u> over the entire basin area
- Localized high velocities can cause major problems



Density Currents

- Generally worst in large sedimentation basins due to surface warming
- Can cause significant floc carryover







Inlet Flow Distribution

- Generally caused by poor inlet channel design
- Sometimes caused by uneven inlet weirs





Rectifying Mal-Distribution

- Switch to flow meters and valves, but often there is insufficient head
- Modify the inlet channel to provide tapering and equalize velocities





Clarifier Inlet Mal-Distribution

 Poorly designed flocculation basins can result in bulk circulation, resulting in high localized entry velocities





Clarifier Inlet Mal-Distribution

- Better flow distribution usually requires
 head loss to be introduced
- Mitigation can be difficult, without causing floc damage





DAF Clarifiers: How Much Air is Enough ?





DAF Saturator Control





Not enough air is a bad thing !!





Entrained Air Problems



Clarifier performing well in early morning

Launders and Inclined Plates readily visible



....starting to deteriorate !!!





...and getting worse !!!





This is a sedimentation basin ??





Extended downtime for cleaning !!!





ActiFlo Operational Issues

- Control of hydrocyclones to adjust waste flows
- Control of polymer dosing crucial:
 - Under-dosing = floc carryover
 - Over-dosing = polymer carryover
- Sand Concentration
 - Generally aim for 3 5 g sand/L
 - John Meunier trying to develop an on-line sand concentration analyzer ?







Granular Media Filtration



What constitutes "good" filter performance ?

- Consistently less than 0.3 NTU
- Particle counts < 50 particles/mL
- > 2-log removal of *Giardia* and *Cryptosporidium* sized particles
- Long and predictable filter runs (24+ hours)
 Same for each filter
- Minimal premature particle breakthrough
- Poor performance can be difficult to rectify, but many issues can be resolved with simple fixes



What constitutes "good" filter design ?

- Most efficient media design has largest media at the top, and the finest at the bottom
- However, backwashing immediately reclassifies bed to place the finest grains at the surface
- Therefore use multi-media to mimic this effect, with coarse grains in the top layer to trap solids, and finer layer below for polishing



What constitutes "good" filter design ?

"Conventional" Filter Design

- Typical Loading Rates 6 9 m/hr. Higher possible with pilot testing
- Total Media Depth ≤ 1 m
- Anthracite: ES 0.8 1.2 mm, UC 1.4 1.65
- Sand: ES 0.45 0.55 mm, UC 1.4 1.65
- "Deep Bed" Filter Designs
 - Typical Loading Rates much higher, relying on chemical dosing to a greater extent
 - Total Media Depth 2 3 m
 - Anthracite: ES 0.8 1.2 mm, UC 1.4 1.65
 - Sand: ES 0.45 0.55 mm, UC 1.4 1.65



Filter Auxiliary Cleaning

Air Scour

- Air flow: $0.9 1.5 \text{ m}^3/\text{min}/\text{m}^2$
- Air scour provides a vigorous cleaning action, due to "collapse pulse" action
- Surface Wash
 - Generally falling out of favour, but common in older filters
 - Typical Flows:
 - Fixed nozzles: 5 m³/m²/hr
 - Rotating Arms: 1.2 m³/m²/hr





Good Filter Design Practice

 If dual-media is used, media should be hydraulically compatible to reduce intermixing:

$$\frac{d_1}{d_2} = \left[\frac{\left(\rho_2 - \rho_{water}\right)}{\left(\rho_1 - \rho_{water}\right)}\right]^{\left(\frac{2}{3}\right)}$$

 $\rho_1, \rho_2, \rho_{water} = Density of Media 1, Media 2, and water$ $d_1, d_2 = Diameter of Media 1, Media 2$



Good Filter Design Practice

- The important thing to remember is that all media should be selected to share a common fluidization velocity
- This minimizes intermixing of media layers
- Severe intermixing causes short filter runs by reducing void volume in upper layer of filter
- Note: Media characteristics can change over time:
 - Encrustations
 - Deposition
 - Physical degradation of media grains (wear)



Appropriate Backwashing Rates



Note: Rates are at 20C, and must be adjusted for other temperatures



A Typical Filter Run





Granular Media Filtration Common Problems



Filter Issues – Valve Hunting





Filter Issues – Initial Turbidity Spike





Filter Issues – Effluent Turbidity "Creep"





Filter Issues – Spiking during Run





Filter Issues – Hydraulic "Shock"





Filter Issues – Spiking during Backwash

- Backwashing of other filters increases
 flow to remaining filters
- Short term hydraulic shock dislodges particles





Premature Particle Breakthrough

- Increases in filtered water particle concentrations are common near the end of a filter run – Well before turbidity breakthrough
- Passage of pathogens may occur before a turbidimeter "notices"
- Particle counting may be a more appropriate trigger for backwashing than turbidity measurements




Common Causes for Poor Filter Performance

- Poor clarifier performance Excessive solids loading
- Excessive operational loading rates
- Lack of FTW capability
- Sudden changes in flow to filter Hydraulic "shock"
- Filter media loss or upset
- Filter underdrain damage or failure
- Poor cleaning effectiveness
 - Mudballing
 - Short circuiting



Granular Media Filtration *Evaluation & Optimization*



Granular Media Filters Evaluation Techniques

- Visual Inspection
- Filter Surveying
 - Filter Indices
 - Unit Filter Run Volume
 - Filter "Efficiency"
- Filter Core Sampling
- Backwash Waste Characterization
- Floc Retention Profiling
- Backwash Trough Level Check
- Remember <u>SAFETY FIRST</u>





Filter Evaluation Safety Issues

- Never walk directly on filter media
- Ensure filter is FULLY drained before entering filter box
- Beware of filter appurtenances Wear a hard hat
- Use a safety harness where applicable, particularly during bed fluidization testing



Walking on Filter Media 0 Stand Parts List 1) 3/4-in, plywood 2) 2 pieces of rope 3) Construction staples Stand Assembly 1) Cut out a 3 ft x 3 ft square piece of 3/4-in. plywood. 2) Drill 2 holes on opposite ends of the board to attach the handles. 3) Run a piece of rope through the holes and staple them to the board. **Plywood Stand** Filter Evaluation Procedures for Granular Media 01/01/02 DKA

Homemade Device for

³/₄" Plywood Sheeting

Rope Handles



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Filter Indices

- Unit Filter Run Volume (UFRV)
 - A measure of <u>NET</u> filter production per unit filter area per filter run
 - UFRV = Filtration Rate (m/hr) x Filter Run (hr)
 - UFRV of 300 500 m³/m² is desirable
- L/d Ratio
 - Ratio of Filter Bed Depth to Media Nominal Diameter
 - In theory filters with the same L/d should perform equally under similar conditions
 - L/d ratio > 1,000 for conventional filters, > 1,200 if using filter aid
- Filter Efficiency Similar to UFRV, but accounts for losses as waste. Filters should typically produce 2 – 4 % as waste



Visual Observation of Filters

- The first line of defence in filter monitoring and evaluation
- Look for easy to recognize issues:
 - Media boiling during wash
 - Uneven wash distribution
 - Uneven overflow into BW troughs
 - Cratering in media surface
 - Visible mudballs
- Create a map of the filter and track observations for future mitigation



Visual Inspection - Mounding

 "Mounding" of filter media surface – Suggests possible disturbance in gravel layer – High localized flow





Filter "Boiling"

• Boiling is usually clearly visible during the early stages of backwash





Visual Inspection - Cratering

 Cratering of filter media surface – Suggests possible damage to underdrain





Visual Inspection - Mudballs

- Insufficient Wash Velocities to remove heavier mud and silts
- Effectively blocks off filter area and increases local loading rates









Visual Inspection – Other Issues

- "Cracking" at media surface
- Sand separation at filter walls
- Visible algae growth
- Filter media in troughs
- Has scaling or fouling changed the backwash characteristics of the media ?
- Depth of Media Is it uniform ?
- Are the washwater troughs level ?
- Freeboard Top of media to underside of trough
- Does surface wash effectively reach the corners ?



Detailed Filter Evaluation

- A number of techniques can be used to diagnose filter performance issues
 - Filtration Rate Checking
 - Backwash Rise Rate Checking
 - Floc Retention Profiling
 - Backwash Waste Characterization
 - Gravel profiling
 - Sieve testing of media size
 - Media bed depth checking
 - Bed Fluidization Checking
- Tests are relatively easy, and can use home-made testing equipment



Filter Core Sampling Coring Device



Source: Filter Maintenance & Operations Guidance Manual, AWWARF, 2002



Filter Core Sampling Procedure





- 1. Begin by gently pushing the sampler into the media.
- 2. Push until the sampler is at the desired depth.
- 3. Gently swivel the top of the sampler to make a cone at the top of the hole.
- 4. Placing a hand over the top of the sampler, slowly raise it from the hole.
- 5. Continue to raise the sampler until it is clear of the hole.
- 6. Empty the contents of the sampler in the correctly labeled bag.



Backwash Waste Characterization

- There is such a thing as over-washing a filter !!!
- Backwash waste characterization can help assess the "right" duration
- Perform timed sampling of backwash waste to determine solids content
- Use data to asses when to terminate washing
- May allow reduction in water wastage, and residuals volumes



Typical Waste Evaluation





Floc Retention Profiling

Take a core sample

- Sub-divide the core into depth fractions
- Rinse each fraction using a known volume of water, to clean solids off the media
- Measure turbidity in each fraction, before and after washing of filter
- Measures how well solids are being removed from the bed



Floc Retention Profile Sampler



Source: Filter Maintenance & Operations Guidance Manual, AWWARF, 2002

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Floc Retention Profile Graph





Floc Retention Profile Results

| Turbidity, NTU | Filter Media Condition | |
|----------------|---|--|
| 0 - 30 NTU | Clean - Unripened filter – Long ripening time | |
| 30 - 60 NTU | Clean - Ripened Filter | |
| 60 - 120 NTU | Slightly dirty, Still OK | |
| 120 - 300 NTU | Dirty - Re-Evaluate Backwashing | |
| > 300 NTU | Mudball Problems | |



Filter Bed Fluidization Testing Equipment



Tube Sampler Assembly

- 1) Cut the ³/4 in. clear plastic pipe in 1-in. increasing increments from 2 in. to 12 in.
- Cut the plastic or wooden stock long enough to fit all 11 tubes and the 2-in. diameter handle on it.
- 3) Glue the clear plastic tubes to the stock and to each other.4) Attach the 2-in. dowel to the stock with the screw(s).
- 5) Label the clear plastic tubes with a permanent marker.



DKN

01/01/02

 Source: Filter Maintenance & Operations Guidance Manual, AWWARF, 2002



Bed Fluidization Protocol



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1. Lower the tube sampler onto the filter surface.

2. Once it is resting on the surface, tightly secure the sampler to a stationary object.

3. Initiate a filter backwash.

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4. The tubes will begin to fill with media during the backwash.

5. Terminate the backwash at its standard time.

6. Withdraw the tube sampler and record the tallest tube that is full of media.



Compare backwash rate and fluidization

| Backwash Flow Rate | Bed Expansion | Notes |
|--------------------|---------------|---|
| Below required | < 20% | Increase backwash rate and repeat test |
| Below required | 20 - 30% | Increase backwash rate and repeat test |
| Below required | > 30% | Check water temperature |
| Correct Flow | < 20% | Check for Polymer Buildup on Filter |
| Correct Flow | 20 - 30% | No Action Required |
| Correct Flow | > 30% | Check Media Specs. |
| Above Required | < 20% | Check for Polymer Buildup on Filter |
| Above Required | 20 - 30% | Check for Polymer Buildup on Filter |
| Above Required | > 30% | Reduce Backwash Rate and Repeat Test |



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Mudball Analysis

 Used to physically determine the extent of filter mudballing due to chronic underwashing

- Collect 6" Core Samples
- Gently sieve the samples to separate mudballs from media
- Place mudballs into a 250 mL graduated cylinder

| Percent Mudballs | Filter Condition |
|------------------|------------------|
| 0 - 0.1% | Excellent |
| 0.1 - 0.2% | Very good |
| 0.2 - 0.5% | Good |
| 0.5 - 1.0% | Fair |
| 1.0 - 2.5% | Fairly Bad |
| 2.5 - 5% | Bad |
| Over 5% | Very Bad |



\$3.8

6

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Mudball Sampling Protocol





- 2. Gently push the sampler into the media.
- 3. Push until the top of the sampler is level with the top of the media.
- 4. Slowly tilt the sampler up.
- 5. The sampler will have some media on top of it.
- 6. Carefully raise the sampler and brush off the media that is on top of the sampler.
- 7. Empty the contents of the sampler in the correctly labeled bag.



Gravel Profiling

- Manual measurement of gravel depth at various locations in the filter
- Variation should be no more than ± 25 mm



- 1. Determine the sampling area.
- 2. Begin the backwash and start to lower the punch plate into the fluidized media.
- 3. Continue to press the punch plate down through the media, until ...
- 4. ... it comes to rest on the gravel layer.
- 5. Take a reading off of the punch plate and move to the next location.



Gravel Profile Examples

Top of Gravel Footprint Filter No. 14



Severe



Top of Gravel Footprint Filter No. 1





Possible Solutions for Poor Filter Performance

- Optimization of Filter Backwashing
 - Even distribution of flow
 - Selection of Appropriate Wash Rates
 - Levelling of Wash Trough Crests
 - Air Scour
 - Surface Wash
- Addition to Filter-to-Waste
- Use of filter aid polymers
- Addition of coagulant or other chemicals to backwash water



Dealing with badly fouled media

- Filters which exhibit significant fouling problems, mudballing, cracking, etc. are very difficult to rectify
- Lancing is a possible solution, but be very careful if support gravel is in place
- Replacement of the media may be the only solution



Filter Aid Polymer can reduce turbidity spiking

Note turbidity spike due to flow change

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 Use of filter aid strengthens floc, and eliminates spiking







Excellent Resources

- AWWA Std. M37 "<u>Operational Control of</u> <u>Coagulation and Filtration Processes</u>", 2000
- AWWARF, "Filter Maintenance and Operations Guidance Manual", 2002
- AWWARF, "<u>Self Assessment Guide for Surface WTP</u> <u>Optimization</u>", 1997
- AWWA, "Filter Evaluation Procedures for Granular Media", 2002
- AWWARF, "<u>Design & Operation Guidelines for</u> <u>Optimization of the High-Rate Filtration Process</u> – <u>Plant Survey Results</u>", 1989
- AWWA, "Filter Troubleshooting & Design Handbook", 2005



Questions ??

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